

Ethical Compliance – Supplier questionnaire



Designed to support a supplier compliance audit or pre tender evaluation.



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Ethical Compliance Supplier Questionnaire

<u>Legal Compliance</u>	Yes	No
A1 Does your organisation comply with all laws and regulations applicable to its business?		

<p>The Modern Slavery Act 2015 ("The Modern Slavery Act") requires all organisations carrying out business in the UK with an annual turnover of £36million or more to comply with the requirements of the Modern Slavery Act.</p>		
<u>Modern Slavery Act</u>	Yes	No
B1 Is your organisation required to comply with the requirement of the Modern Slavery Act?		
<p>If you have answered 'yes' to question B1 above, please answer questions B2 to B9 below and the questions in Sections C, D and E.</p> <p>If you have answered 'No' to question B1 above, please ignore questions B2 to B9 below and answer the questions in Sections C, D and E.</p>		
	Yes	No
B2 Has your organisation undertaken a risk assessment to assess the risks of modern slavery in its supply chain?		
B3 If your answer to question B2 above is 'no', does your organisation intend to undertake a risk assessment?		
B4 Has your organisation published a Modern Slavery Act Statement?		
B5 If your answer to question B4 above is 'no' does your organisation intend to publish a Modern Slavery Act Statement?		
B6 Does your organisation have procedures in place respond to incidents of modern slavery?		
B7 If your answer to question B6 above is 'no', does your organisation intend to put measures in place to respond to incidents of modern slavery?		
B8 Does your organisation have procedures in place to manage the risks of modern slavery in the supply chain?		
B9 If your answer to question B8 above is 'no', does your organisation intend to put measures in place to manage risks of modern slavery in the supply chain?		

<u>Human Rights</u>	Yes	No
C1 Does your organisation have policies and processes in place prohibiting child labour, the exploitation of workers, the use of forced and compulsory labour and human trafficking in its business and across its supply chain?		
C2 Does your organisation have an equal opportunities policy which prohibits any form of discrimination in the recruitment, compensation, promotion, access to training, termination and retirement of employees?		
C3 Does your organisation respect the right of employees to exercise their rights of free association, union membership and other forms of collective bargaining?		
C4 Does your organisation provide a safe and hygienic working environment, bearing in mind the prevailing knowledge of the industry and of any specific hazards?		
C5 Does your organisation provide a working environment free from physical, psychological and verbal harassment and other forms of abusive conduct?		
C6 Does your organisation have a drug free policy and maintain a workplace free from illegal drugs?		
C7 Does your workplace comply with relevant working time and minimum wage regulations?		

<u>Conflict Minerals</u>	Yes	No
D1 Does your organisation have; (i) Supply chain policies in place to avoid the purchase and/or use of conflict minerals (tin, gold, tungsten and tantalum) and;		
(ii) Processes in place to determine whether products contain conflict minerals?		

<u>Counterfeit Materials</u>	Yes	No
E1 Does your organisation have in place written procedures for the detection and prevention of counterfeit products and parts in the supply chain?		
If your response to question E1 is 'yes', please answer questions E2 and E3 below		
	Yes	No
E2 Are your counterfeit materials procedures aligned to an international standard?		
E3 Do you audit your suppliers for compliance with your counterfeit standard?		

Retain with contract.

Further resource

[The Modern Slavery Act](#)

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